

**STATEMENT UNDER 37 CFR 3.73(h)**

Applicant/Patent Owner, Howard J. Federoff and William J. Bowers

Application No./Patent No. 10/578,561 Filed/Issue Date November 18, 2004

Entitled: COMPOSITIONS AND METHODS OF TREATING NEUROLOGICAL DISEASES

University of Rochester, a university  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018957, Frame 0312, or for which a copy thereof is attached

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:


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2. From \_\_\_\_\_ To: \_\_\_\_\_  
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3. From \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature  
John Fahner-Vihtelic  
\_\_\_\_\_  
Printed or Typed Name  
Deputy Director  
\_\_\_\_\_  
Title

6-15-09  
\_\_\_\_\_  
Date  
(585) 784-8850  
\_\_\_\_\_  
Telephone Number